



Health Quality Innovation Network

Guidance for Infection Control Audits and Tracking Tools

Purpose:

The Health Quality Innovation Network (HQIN) is offering this presentation as visual companion to the Infection Control Audit and Data Tracking Tools.

This resource will:

1. Serve as a guide to walk through the HQIN Infection Control Audit and Data Tracking Templates
2. Understand the importance of observational audits with immediate follow up 1:1 education for the team.
3. Serve as an educational tool for your team
4. Support survey readiness and response to Plan of Corrections (POC) and Directed Plan of Correction (DPoC)

Area of Opportunity Identified

Your staff report that the COVID positive rooms are not being cleaned. Trash and dust noted under the beds, bathrooms not cleaned, and floors are “sticky”. Follow up rounds validate the concerns.



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Step 1: Discuss area of opportunity with QAPI Team

- Document your rounding observations/ audits
- Review these observations of non-compliance utilizing these baseline results
- QAPI Team determines to start a Performance Improvement Plan (PIP)
 - Choose a team appropriate to the PIP: Administrator, DON, IP, EVS Manager, Central Supply Staff, COVID unit staff including EVS team member, Unit Nurse and CNA

Step 2: Create an Action Plan and Set a Goal

Specific: Objectives should be well-defined

Measurable: Objectives should have a benchmark and target to help determine whether the objective is achieved

Achievable: Objectives should be within reach

Relevant: Objectives need to be in line with organizational mission, vision, and goals

Time-phased: When will the objective be achieved

*COVID Transmission
Precaution Rooms
will have
Environmental
Cleaning compliance
at 95% by November
30, 2020.*

Step 3: Facility identified root causes

- Staff “scared” to go in. Not clear on how PPE protects them and other residents
- No checklist of daily room cleaning tasks
- Recently condensed EVS orientation is being provided by EVS Manager
- Two open EVS staff positions. More rooms assigned to clean so “no time for details”.

Step 4: Identify and implement new interventions

- Daily room cleaning checklist created
- Education provided to EVS staff on new checklist and employee safety
- Education provided by IP in EVS orientation
- EVS assignments realigned with manager taking on community areas allowing more time for EVS in rooms while hiring and orienting new staff.



Step 5: Establish auditing schedule

- Have you collected an adequate amount of baseline data? All shifts? All units? If not already established, start with baseline collection and then move to defined weekly audits.
- Be clear with ongoing schedule once baseline is established.
 - Audit 5 Transmission Precautions rooms per week for 4 weeks and then 3/week for 8 weeks
 - Assure the schedule includes all appropriate shifts/units/departments.

Auditing Tips

- Determine the appropriate audit tool
 - Does the selected tool address the RCA issues and interventions
- Educate the “Auditors” of the details of what they are looking for.
 - Example: “Pull cords are clean and hang free of railings” – clean is obvious, but why not tied to bedrail?
 - Answer: Accident risk for resident
- Consider having staff audit with you

Observational Audits

Part of your QAPI program -

- Your goal is to establish a realistic sense of your facility compliance
- For breaks in technique: OK to stop or verbal cue to prevent breach in infection control.
 - A corrected gap is a “no” on the audit
 - Provide “1:1” Education and DOCUMENT it!
- Establish a secret shopper system when possible
 - no one knows you are watching.

DAILY CLEANING INSPECTION FORM

Place a "Y" for all areas that meet the inspection standard.
Comment on areas that do not meet the standard.

Date Completed _____
Completed by _____

PATIENT ROOM # _____	If Yes = Y If No = N and comment	COMMENT
Hand wash sink clean		
Soap, alcohol rinse dispensers are clean/stocked/not expired		
Ceiling tiles, air vents, sprinklers clean		
Sharps container checked, garbage cans emptied		
Equipment- i.e., IV and/or tube feeding pole and base, clean		
Computer keyboard and mouse		
Cabinet handles and surfaces clean and free of tape and hand prints		
TV, front and back wiped clean		
Bedside table surface and pulls clean		
Ceiling lift is clean and dust free		
Over bed table surface clean, track for slider clean, base clean		
Floors clean, not sticky, free of dust		
Telephone, hand set clean		
Remote control clean		
Room fan on countertop dust-free		
Sleeper couch/chair- clean		

Step 6: Data Collection and Analysis

- Critical to track and trend your results
- Evaluate your weekly trends to identify gaps – may combine for monthly reporting as needed
- Determine if gaps are consistent or random
- Modify interventions as needed



HQIN Tracking Tools



NURSING HOME INTERVENTION DATA:

APIC Daily Cleaning Inspection Form

The following workbook is a template for nursing homes to self-report data on meeting standards for their **Daily Cleaning Inspection Form**.

Please select your **nursing home state and name** from the drop-down list below, and the CCN number will automatically populate.

Make sure to select your state first; otherwise, the nursing home drop-down list will not populate.

The workbook is designed for a 12-month tracking cycle; please select the **month/year** you plan to begin tracking below to populate.

Finally, enter in your **goal compliance rate (if applicable)** in the form of a percentage.

STATE:

NURSING HOME NAME:

CCN NUMBER:

TRACKING START DATE
(mm-yyyy):

GOAL COMPLIANCE
RATE:
(e.g. 90%, 95%, etc.)

Utilizing the Data

1. Review your trends and compliance ratings weekly and monthly
 - Identify trends in audits with non-compliance
 - Consider if trending indicates a new RCA needed
 - Review results with your staff
 - Continue monitoring help assure sustainability
2. QAPI Meeting
 - Review action plans
 - Review RCA results
 - Review compliance results
 - Determine if action plan updates are needed

Audit and Tracking Tools

- Hand Hygiene
- PPE – NC SPICE
- EVS Terminal Cleaning
- EVS Daily Cleaning
- Vaccination Log
- Generic Tracking Tools
 - COVID -19 PPE – donning/doffing
 - Masking
 - Masking and N95
 - Peri Care
 - Catheter Care

Join HQIN to receive resources!

Simply email your state contact your intent to become an HQIN member:

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