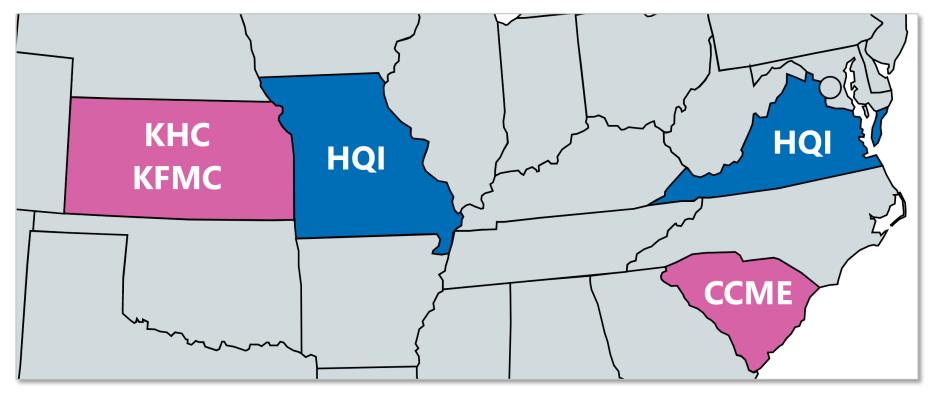




### Proactive Survey Readiness & Vaccines



# Health Quality Innovation Network





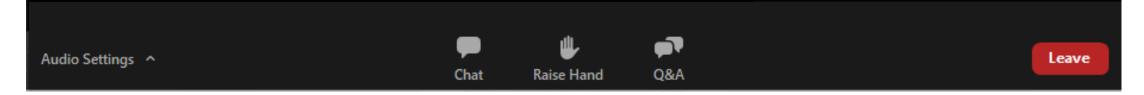








# Logistics – Zoom Webinar



To ask a question, click on the **Q&A** icon.

Raise your hand if you want to verbally ask a question.

Resources from today's session will be posted in **Chat**.

You may adjust your audio by clicking Audio Settings.

You have been automatically muted with video turned off.



# Your Team





Mary Locklin, MSN, RN, CIC Senior QIA-Infection Prevention



Felicity Wood, MS, LNHA Consultant



Elizabeth Nugent, LNHA, CDP

Consultant



**April Faulkner** Communications Specialist





#### Goals for this Webinar

- Review top infection prevention and control survey citations with survey readiness strategies
- Provide an overview of key takeaways of new regulatory guidance for strengthened enhanced enforcement and NHSN reporting
- Review requirements for vaccination tracking beyond only COVID-19
- Discuss the importance of education and documentation of vaccinations
- Introduction to HQI's Your Health Can't Wait, Vaccinate! campaign





### Quality Improvement Organizations Sharing Knowledge, Improving Health Care. CENTERS FOR MEDICARE & MEDICAID SERVICES

# Polling Question

How do you feel about the latest CMS updates and survey readiness?

- A. Glad we are moving forward to "normalizing" COVID-19
- B. A bit overwhelmed with the changes and hoping to get QIO support with integrating a plan to monitor them
- C. Uncertain





## Kansas 2022 Survey Citation Frequency



Provider and Supplier Dually Certified SNF/NFs - Medicare and Medicaid

Type(s):

State: Kansas

Survey Focus: Health

Year Type: Fiscal Year

**Year:** 2022

Quarter: Full Year

Tag #	Tag Description	# Citations	% Providers Cited	% Surveys Cited
	Totals represent the # of provider meet the selection criteria sp	Kansas Active Providers = 280	Total Number of Surveys = 1402	
F0884	Reporting - National Health Safety Network	203	23.6%	14.5%
F0689	Free of Accident Hazards/Supervision/Devices	186	43.9%	13.3%
F0880	Infection Prevention & Control	129	30.0%	9.2%
F0812	Food Procurement, Store/Prepare/Serve Sanitary	121	28.2%	8.6%
F0756	Drug Regimen Review, Report Irregular, Act On	112	27.1%	8.0%





### Missouri 2022 Survey Citation Frequency



Provider and Supplier Dually Certified SNF/NFs - Medicare and Medicaid

Type(s):

State: Missouri

Survey Focus: Health

Year Type: Fiscal Year

**Year:** 2022

Quarter: Full Year

Tag #	Tag Description	# Citations	% Providers Cited	% Surveys Cited
	Totals represent the # of provider meet the selection criteria sp	Missouri Active Providers = 439	Total Number of Surveys = 3365	
F0884	Reporting - National Health Safety Network	505	29.8%	15.0%
F0689	Free of Accident Hazards/Supervision/Devices	167	27.1%	5.0%
F0880	Infection Prevention & Control	136	25.7%	4.0%
F0658	Services Provided Meet Professional Standards	122	18.2%	3.6%
F0812	Food Procurement, Store/Prepare/Serve Sanitary	116	24.1%	3.4%





### South Carolina 2022 Survey Citation Frequency



Provider and Supplier Dually Certified SNF/NFs - Medicare and Medicaid

Type(s):

State: South Carolina

Survey Focus: Health

Year Type: Fiscal Year

**Year:** 2022

Quarter: Full Year

Tag #	Tag Description	# Citations	% Providers Cited	% Surveys Cited
	Totals represent the # of provider meet the selection criteria sp		South Carolina Active Providers = 145	Total Number of Surveys = 691
F0884	Reporting - National Health Safety Network	100	24.1%	14.5%
F0600	Free from Abuse and Neglect	34	17.9%	4.9%
F0689	Free of Accident Hazards/Supervision/Devices	33	19.3%	4.8%
F0880	Infection Prevention & Control	32	17.9%	4.6%
F0812	Food Procurement, Store/Prepare/Serve Sanitary	27	15.2%	3.9%





# Virginia 2022 Survey Citation Frequency



Provider and Supplier Dually Certified SNF/NFs - Medicare and Medicaid

Type(s):

State: Virginia

Survey Focus: Health

Year Type: Fiscal Year

Year: 2022

Quarter: Full Year

Tag #	Tag Description	# Citations	% Providers Cited	% Surveys Cited
	Totals represent the # of provider meet the selection criteria sp		Virginia Active Providers = 237	Total Number of Surveys = 507
F0884	Reporting - National Health Safety Network	117	24.5%	23.1%
F0684	Quality of Care	99	31.6%	19.5%
F0842	Resident Records - Identifiable Information	83	27.4%	16.4%
F0657	Care Plan Timing and Revision	72	26.2%	14.2%
F0880	Infection Prevention & Control	65	21.5%	12.8%







# Year-to-Date 2023

Provider and Supplier Dually Certified SNF/NFs - Medicare and Medicaid

Type(s): National

Survey Focus: Health

Year Type: Fiscal Year

Year: 2023

Quarter: Full Year

Tag #	Tag Description	# Citations	% Providers Cited	% Surveys Cited
	Totals represent the # of provider meet the selection criteria sp		Active Providers = 12026	Total Number of Surveys = 40378
F0884	Reporting - National Health Safety Network	7,065	23.8%	17.5%
F0689	Free of Accident Hazards/Supervision/Devices	2,559	16.6%	6.3%
F0880	Infection Prevention & Control	2,233	15.1%	5.5%
F0684	Quality of Care	1,907	12.8%	4.7%
F0812	Food Procurement, Store/Prepare/Serve Sanitary	1,799	13.7%	4.5%







#### **Survey Readiness is Your Goal!**

- ✓ Maintain close oversight of day-to-day activities
- ✓ Stay up to date and current with CMS guidelines
- ✓ Hold staff accountable for monitoring compliance (\*including NHSN reporting)
- ✓ Educate to expectations and re-educate when issues are noted
- ✓ Keep your QAPI activities documented and up to date
- ✓ Investigate, document and follow up on all grievances







### CMS Updates to Survey Documents

Changes to the Entrance Conference Worksheet include **removal** of the following items:

- A list of residents who are confirmed or suspected COVID-19 cases
- Name of facility staff responsible for overseeing the COVID-19 vaccination effort
- The facility's mechanism(s) to inform residents, their representatives and families of confirmed or suspected COVID-19
- Documentation related to COVID-19 testing
- List of residents and their COVID-19 vaccination status
- The staff responsible for notifying all residents, representatives and families of confirmed or suspected COVID-19 cases in the facility

ENTRANCE CONFERENCE WORKSHEET

INFORMATION NEEDED FROM THE FACILITY IMMEDIATELY UPON ENTRANCE

1. Census number

2. Complete matrix for new admissions in the last 30 days who are still residing in the facility.

3. An alphabetical list of all residents (note any resident out of the facility).

4. A list of residents who smoke, designated smoking times, and locations.

The facility now must provide surveyors with the *medical director's phone number* within one hour of entrance





# Changes to the Infection Prevention, Control, and Immunization CE Pathway

- Requirements to review employee COVID-19 vaccination information were significantly reduced
- Requirements to review source control were changed to only require surveyors to ensure source control is in accordance with national standards
- Surveyors are only required to ensure COVID-19 testing is in accordance with national standards
- Surveyors must ensure facility residents and staff were offered and educated on any potential risks of receiving the COVID-19 vaccination
- Surveyors must ensure visitors were educated of the potential risk before visiting residents on transmission-based precautions

DEPARTMENT OF HEALTH AND HUMAN SERVICES CENTERS FOR MEDICARE & MEDICAID SERVICES

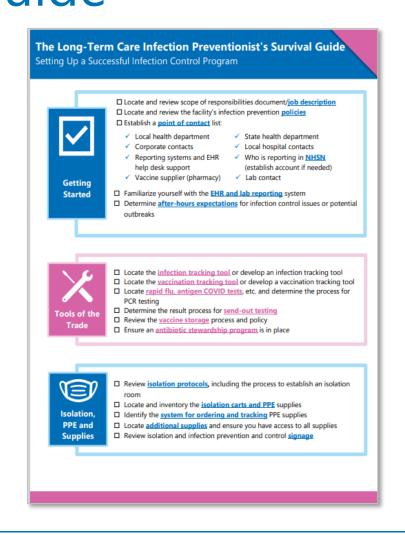
#### **Infection Prevention, Control & Immunizations**

**Infection Control:** This facility task must be used to investigate compliance at F880, F881, F882, F883, F887, and F888. For the purpose of this task, "staff" includes all facility employees (regardless of clinical responsibilities or resident contact), licensed practitioners, adult students, trainees, and, volunteers; and individuals who provide care, treatment or other services for the facility and/or its residents, under contract or by other arrangement. The infection prevention and control program (IPCP) must be facility-wide and include all departments and contracted services. If a specific care area concern is identified, it should be evaluated under the specific care area, such as for pressure ulcers, respiratory care, catheter care, and medication pass observations which include central lines, peripheral IVs, and oral/IM/respiratory medications.









The Long-Term Care
Infection Preventionist's
Survival Guide | HQIN







# Case Study, Survey Ready?

#### Recent survey:

- 120 bed SNF
- 4-star facility
- No complaint inspections, 0 infection control citations in past 3 years
- Resident up to date rate = 97%
- QM:
  - Short stay residents: flu shot = 100%, PNA vac = 84%
  - Long stay residents: flu shot = 100%, PNA vac = 98%

Surveyors requested the facility provide a comprehensive list of vaccines offered and administered

Facility produced various spreadsheets, nothing comprehensive





# \*Case Study Outcome



#### 2567

- Facility cited at scope/severity level 2 for both F880 and F887
- No actual harm with potential for more than minimal harm

#### Plan of Correction

 Facility completed a directed plan of correction that included a root cause analysis with a quality improvement organization

Directed plan of correction with the QIO







# QSO-23-10-NH Strengthened Enhanced Enforcement

Infection Control Deficiencies

Quality Improvement Activities

New 3-30-23: QSO-23-10-NH (cms.gov)

- Directed plan of correction
- Root cause analysis
- Work with a QIO or qualified consultant

DEPARTMENT OF HEALTH & HUMAN SERVICES Centers for Medicare & Medicaid Services 7500 Security Boulevard, Mail Stop C2-21-16 Baltimore, Maryland 21244-1850



#### Center for Clinical Standards and Quality/Quality, Safety & Oversight Group

Ref: QSO-23-10-NH

**DATE:** March 30, 2023

TO: State Survey Agency Directors

FROM: Directors, Quality, Safety & Oversight Group (QSOG) and Survey & Operations

Group (SOG)

SUBJECT: Strengthened Enhanced Enforcement for Infection Control Deficiencies

and Quality Improvement Activities in Nursing Homes

#### Memorandum Summary

- CMS has rescinded memorandum QSO-20-31-ALL, the Enhanced Enforcement for Infection Control Deficiencies, and replaced it with memorandum QSO-23-10-NH, revised guidance for Strengthened Enhanced Enforcement for Infection Control Deficiencies. This revised guidance strengthens enforcement efforts for noncompliance with infection control deficiencies. The enhanced enforcement actions are more stringent for infection control deficiencies that result in actual harm or immediate jeopardy to residents. In addition, the criteria for enhanced enforcement on infection control deficiencies that result in no resident harm has been expanded to include enforcement on noncompliance with Infection Prevention and Control (F880) combined with COVID-19 Vaccine Immunization Requirements for Residents and Staff (F887).
- CMS is providing guidance to the State Survey Agencies and CMS locations on handling enforcement cases before and after the revisions of Enhanced Enforcement for Infection Control Deficiencies.
- Quality Improvement Organizations have been strategically refocused to assist nursing homes in combating COVID-19 through such efforts as education and training, creating action plans based on infection control problem areas, and recommending steps to establish a strong infection control and surveillance program.



### Quality Improvement Organizations Sharing Knowledge. Improving Health Care. CENTERS FOR MEDICARE & MEDICAID SERVICES

# Polling Question

The influenza (flu) vaccine should be offered:

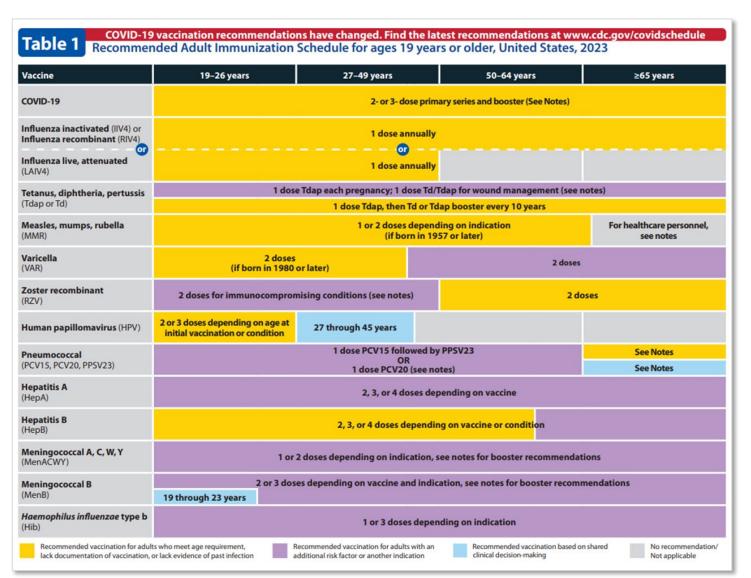
- A. During the months of September and October
- B. Before flu season begins in the spring
- C. As long as influenza viruses are circulating, and unexpired vaccine is available











Immunization
Schedules | CDC



# Survey-Ready Vaccine Tracking







# Screening and Eligibility

All residents and staff must be screened prior to offering vaccines.

#### Screening should include:

- Prior immunization history
- Medical precautions
- Medical contraindications





# COVID-19 Vaccine Tracking Spreadsheet



Header
Categories

Resident Information

Vaccine Type Information

1st Dose Details

2nd Dose Details (if applicable)

Reporting Prompts

	AL	AM	AN	AO	AP	AQ	AR	AS	AT	AU	AV	AW	AX	AY	AZ	BA	
		2nd AD	DITIONAL DOSE	E/BOOSTER (if ap	oplicable)		BIVALENT BOOSTER (if applicable)								40		
r red: yyy)	2nd Booster Dose Name	Eligible to Receive 2nd Booster Dose?	2nd Booster Dose Vaccination Status (dropdown)	2nd Booster Dose Reason for Refusal, e.g. declined, medical (dropdown)	REFUSED	Administered:	Bivalent Booster Dose Name	Eligible to Receive Bivalent Booster Dose?	Bivalent Booster Dose Vaccination Status (dropdown)	Refusal, e.g.		Booster Dose	Completed Primary Vaccine Series? (Autopopulated Column)	1st Additional Dose/Booster Received? (Autopopulated Column)	2nd Booster Dose Received? (Autopopulated Column)	Bivalent Booster Dose Received? (Autopopulated Column)	Report to NHS Dept,





### Resident Influenza, Pneumococcal, **TB Vaccination Log**

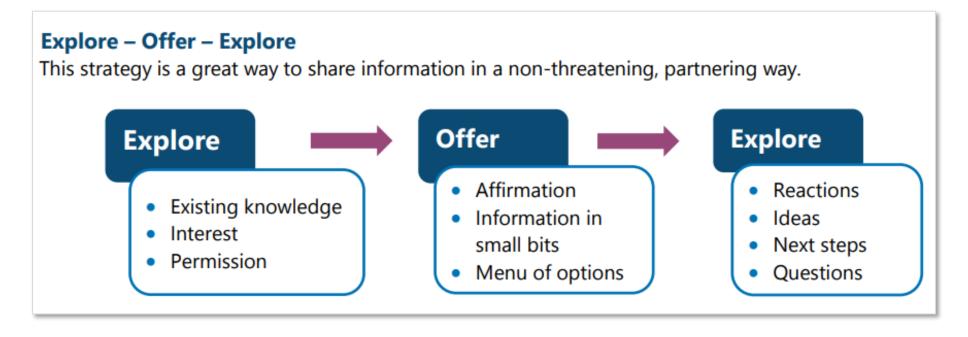
Once "Given" is populated, check here for info on what/when to revaccinate 2023 Vaccination Log

ealth Quality Innovat																												
RESID	DENT																PNEUMOCOG	CCAL VACCINE										
oom # Unit		st First ne Nam		Medical Indications* (Refer to CONDITIONS tab for full list of underlying medical conditions)	PCV13 Status	Manufacturer	Lot #	Expiration	PCV13 Date Given	FLAG: Need PCV13	PCV15 Status	Manufacturer	Lot #	Expiration	PC¥15 Date Given	FLAG: Need PCV15	PCV20 Status - if given, "One and Done"	Manufacturer	Lot #	Expiration	PCV20 Date Given	FLAG: Need PCY20	PPSV23 Status	Manufacture	Lot#	Expiration	PPSV 23 Date Given	FLAG: Need PPS¥23
401 1B	Claus	se Santa	65+ years	Cochlear implants OR CSF leak	Given	xxx	123456	s 5/30/2023	3 12/25/2022	2																		Give PPSV23 >=8 weeks af PCV13 or PCV15 OR >=5 go after any PPSV23 received under 65
402 1B	CLau	ıse Mrs	65+ years	Certain medical conditions*	K												Given	<i><b>дададад</b></i>	578910	2/25/2023	12/25/2022							No further doses needed -" and Done"
403 1B	Redi	No Rudol	pl 65+ years	Certain medical conditions*							Given																	Give PPSV23 >=1 year after PCV13 or PCV15
404 1B				Immunocompromising conditions*																			Given					Revaccinate in >=5 years al first dose PPSV23
111 2a		mr		Certain medical	Given																							Give PPSV23 >=1 year after
114 2a 115 2a		n mrs et mr		Certain medical None of the below	Given						Given																	Give PPSV23 >=1 year after should be: Give PPSV23 1 y
	rudolj	lph mr		Certain medical conditions*													Given											No further doses needed -" and Done"
117 2a	dashe	er ms	19-64 years	Persons with functional or anatomic asplenia*	Given																							PCV13 or PCV15; Revaccing in >=5 years after first dose PPSV23
113 2a	dance	er mrs		Certain medical							Given																	Give PPSV23 >=1 year after
114 2a	cupi	id mr	65+ years	Certain medical													Given											No further doses needed -
118 2a	dunde	er mr	65+ years	Certain medical conditions*																			Given					Give PCV15 or PCV20 at le one year following PPSV23 be considered complete
150 3a	on sh	hell Elf	65+ years	Cochlear implants OR CSF leak																			Given					Give PCV15 or PCV20 at le one year following PPSV23 be considered complete
155 3B	Grine	eh Mr	65+ years	Persons with functional or anatomic asplenia*														stered	_				Given					Give PCV15 or PCV20 at le one year following PPSV23 be considered complete
170 3a	Whoo	o Susie	65+ years	Immunocompromising conditions*						when, if it is a previous record) by type and date at a													Give PCV15 or PCV20 at le one year following PPSV23 be considered complete					
171 3a	Dog	Mass	19-64 years	Certain medical					100	aima.	:£	Wall b	21/2	the	MA A 14	···f~	<b>a</b> 4	w lot -		hor			Given					
172 3a	Kringl	le Kris	65+ years	Immunocompromising conditions*	Declined	1				minimum; if you have the manufacturer, lot number and expiration, that will be more complete								ıber								Give PPSV23 >=8 weeks af PCV13 or PCV15 OR >=5 ye after any PPSV23 received		





### Motivational Interviewing



Motivational Interviewing Strategies for Vaccine Readiness Tip Sheet | HQIN





### Vaccine Education

#### Education should consist of:

- Eligibility to receive
- Benefits
- Potential side effects
- Risks







### Simple Strategies Resources

Share Simple Strategies to educate your staff and residents about the benefits and what to expect before, during and after receiving vaccines

- Simple Strategies for Encouraging
   Residents to Receive the COVID-19 Vaccine
- Simple Strategies for Encouraging Staff to Receive the COVID-19 Vaccine
- Simple Strategies for Side Effects of the COVID-19 Vaccine
- Simple Strategies for Resident Flu and Pneumococcal Vaccines
- <u>Simple Strategies for Encouraging Staff to</u> <u>Receive the Influenza Vaccine</u>







#### Documentation

The resident's medical record must include documentation that indicates:

- The resident/representative was provided education regarding the benefits and potential side effects of vaccines
- The resident's record should show vaccination administration unless it contains documentation as to why the vaccine was not administered
- Facilities should also provide examples of the educational materials that were used to educate residents







# QSO-20-39-NH Updated Visitation Guidance

#### DEPARTMENT OF HEALTH & HUMAN SERVICES

Centers for Medicare & Medicaid Services 7500 Security Boulevard, Mail Stop C2-21-16 Baltimore, Maryland 21244-1850



#### Center for Clinical Standards and Quality/Survey & Certification Group

Ref: QSO-20-39-NH

REVISED 05/08/2023

TO: State Survey Agency Directors

**September 17, 2020** 

FROM: Director

DATE:

Survey and Certification Group

**SUBJECT:** Nursing Home Visitation - COVID-19 (*REVISED*)

Memorandum Summary

 CMS is committed to continuing to take critical steps to ensure America's healthcare facilities are prepared to respond to the Coronavirus Disease 2019 (COVID-19) Public Health Emergency (PHE).

- · Visitation is allowed for all residents at all times.
- Updated guidance to align with the ending of the PHE

QSO-20-39-NH REVISED 05/08/2023 (cms.gov)



### Quality Improvement Organizations Sharing Knowledge, Improving Health Care. CENTERS FOR MEDICARE & MEDICAID SERVICES

#### Visitation Guidance

#### **Indoor Visitation During an Outbreak Investigation**

- Adhere to CMS regulations for infection control following accepted national standards, such as CDC recommendations
- If residents or their representative would like to have a visit during an outbreak investigation, the visit should ideally occur in the resident's room, the resident and their visitors should wear well-fitting source control (if tolerated) and physically distance (if possible) during the visit

#### **Visitor Testing and Vaccination**

CMS strongly encourages all visitors to stay up to date with their COVID-19 vaccinations

#### **Core Principles of COVID-19 Infection Prevention and Control (IPC)**

- Post visual alerts (e.g., signs, posters) at the entrance and in strategic places (e.g., waiting areas, elevators, cafeterias) – these alerts should include instructions about current IPC recommendations (e.g., when to use source control)
- Clean and disinfect frequently-touched surfaces in the facility often, as well as designated visitation areas
  after each visit
- Conduct resident and staff testing following nationally accepted standards, such as CDC recommendations



### Reminder: Update Your Facility Signage

Quality Improvement
Organizations
Sharing Knowledge. Improving Health Care.
CENTERS FOR MEDICARE & MEDICAID SERVICES

Consistent with CDC, CMS also noted that facilities should ensure proper visual alerts for visitors to understand infection prevention and control (IPC) best practices and what source control is recommended in the facility, at any time.



Cover Your Cough
Poster | CDC

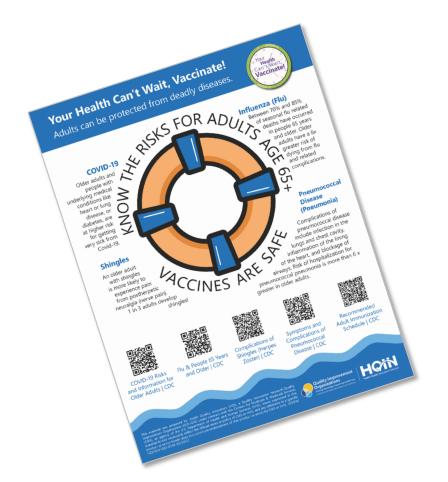


Please Help Keep Us Safe Posters | HQIN





### NEW Vaccine Campaign







Your Health Can't Wait, Vaccinate! Resources | HQIN



### Quality Improvement Organizations Sharing Knowledge. Improving Health Care. CENTERS FOR MEDICARE & MEDICARD SERVICES

#### Resources

- Enhanced Enforcement for Infection Control Deficiencies (QSO-23-10-NH) | CMS
- Updated Survey Resources | CMS
- Interim Infection Prevention and Control Recommendations for Healthcare
   Personnel During the Coronavirus Disease 2019 (COVID-19) Pandemic | CDC
- Best Practices Pocket Cards | HQIN
- Your Health Can't Wait, Vaccinate! Resources | HQIN
- COVID-19 Vaccination & Booster Tracking Tool | HQIN
- Resident Influenza, Pneumococcal, TB Vaccination Log | HQIN
- Interim Final Rule COVID-19 Vaccine Immunization Requirements for Residents and Staff (QSO-21-19-NH) | CMS
- Guidance for the Expiration of the COVID-19 Public Health Emergency (PHE)
   QS0-23-13-ALL | CMS





#### Resources, continued

- COVID-19 Vaccine Effectiveness and Safety | MMWR (CDC)
- Safe Visitation in Nursing Homes | HQIN
- Visitation Plan Guidelines | HQIN
- Checklist of Best Practices to Keep Infectious Diseases from Spreading in Nursing Homes | HQIN
- NHSN LTCF Component Manual | CDC
- ACIP Vaccine Recommendations and Guidelines | CDC
- Vaccine Information Statements (VISs) | CDC
- COVID-19 Vaccine EUA Fact Sheets for Recipients and Caregivers | CDC
- COVID-19 and Flu Vaccines Pocket Card | HQIN
- Pneumonia and Shingles Vaccines Pocket Card | HQIN





Stay connected about upcoming educational opportunities with the Weekly Dose and Simple Strategies newsletters delivered to your inbox!



Don't miss the n

on Tuesday, Ju

The webinar ser

include a 20-min into an open foru

#### when HQIN's ex readiness and vi

- Emerging Infectious Diseases (EID) Component
- Risk Assessment and Emergency Plan Review
- Communication Plan
- Coordination with Local, State and Federal Officials
- Training and Testing Program
- Emergency Preparedness Help is Just a Click Away

#### Learning Opportunitie

 New Updates to the NHSN Long-Term Care Facility COVID-19 Module Surveillance Pathways

HCW

- Prepare, Prevent, Protect Webinar Series Concludes TODAY (May 16)
- Simple Strategies Stand-Up: Proactive Readiness & Vaccines
- Free COVID-19 Training to Improve Infection Prevention in Nursing
  Homes





### FOR MORE INFORMATION

Call 877.731.4746 or visit <a href="https://www.hqin.org">www.hqin.org</a>
<a href="https://www.hqin.org">LTC@hqin.solutions</a>

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**Kristine Williamson** 

**Quality Specialist** 

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919.461.5525









To all essential care giving teams supporting residents and families,

Thank you for attending



